

ADDITIONAL SUPERVISORY DATA REQUESTS PER 30 JANUARY 2018									
Subject	Organisation	Target group	Scope of data to be submitted	Frequency	Reference date of data	Release date of request	Deadline banks	Explanation	Further information
SEMI RECURRING									
Additional reporting EEA branches	DNB	Non SI EEA Branches	Two extra tabs in FINREP: Retaildeposits and Assets under Management.	Annually	01-12-2017	April 2018 (To be confirmed)	May 2018 (To be confirmed)	Information is used to determine the classification of the branch offices.	Relevant banks will be contacted by email.
Article 23 LCR DA qualitative request	DNB	All LSI's who are not direct subsidiaries of SI's	Requesting explanations for products and outflow percentages reported in Corep C 73.00 (on consolidated level).	Annually	Monthly data 01-07-2017 to 30-06-2018	01-08-2018	01-09-2018	Information is used to report to EBA - the outflow percentages that the banks have applied.	See Article 23(2) of the LCR delegated act. Click here
Basel III Monitoring QIS (Quantitative Impact Study)	BCBS	Selected SI and LSI banks	Excel templates with data on the impact of the implementation of new BCBS policy frameworks. Two sets of modules: one stable set focusing on the medium/long-term policy phase-in, and one varying set of modules with the focus on more short-term policy impacts.	Semi-annually	31-12-2017	14-02-2018	06-04-2018	Worldwide Basel III impact and implementation tracking study on voluntary basis.	For extra information on the BIS website: Click here
Credit valuation adjustment (CVA) risk monitoring exercise	EBA	Based on EBA's list of EU institutions for which the EBA receives COREP submissions - click here	Transactions exempted from CVA risk in line with policy recommendation No4 made in the CVA Report.	Annually	31-12-2017	June 2018 (To be confirmed)	September 2018 (To be confirmed)	The collected data will be used for the 2016 monitoring exercise of the impact transactions exempted from the CVA risk charge and assessing the impact of the revised international standards on CVA risk.	For extra information on the EBA website: Click here
EBA Transparency Exercise	EBA	SI's	The EU-wide transparency exercise provides detailed bank-by-bank data on capital positions, risk exposure amounts and asset quality.	Annually	31-12-2017 30-06-2018	September 2018 (To be confirmed)	October 2018 (To be confirmed)	The EBA aims to promote a greater understanding of capital positions and exposures in the EU banking sector and foster market discipline across the Single Market.	See the EBA website (also for the templates): click here
G-SIB assessment exercise	BCBS/ EBA	All banks with a leverage ratio exposure measure exceeding 200 billion euros.	Excel sheet has been slightly changed compared to last year. For more information on the templates and the 12 indicators Click here	Annually	31-12-2017	April 2018 (To be confirmed)	04-05-2018	The Basel Committee on Banking Supervision is conducting this data collection exercise as input into the methodology to assess the systemic importance of banks in a global context. The 12 indicators are aggregated and used to calculate the scores of banks in the sample. Banks above a cut-off score are identified as G-SIBs and are allocated to buckets that will be used to determine their higher loss absorbency requirement.	See Commission Delegated Regulation (EU) No 1222/2014, Article 5 and Article 131(12) of Directive 2013/36/EU. For extra information on the BIS website: Click here
Integrity Risk Questionnaire	DNB	License banks and branches SI's and LSI's	Questionnaire on objective data regarding international presence, client business and transactions in high risk countries.	Annually	2017	01-05-2018	01-07-2018	This questionnaire will help DNB to execute Anti Money Laundering and Counter Finance Terrorism supervision (AML/CFT).	See FATF Recommendations 2012.
Liability Data Report	SRB	SRB banks (SI's and cross-border institutions)	Data on liability structure for Relevant Legal Entities (RLE) on solo and/or sub-consolidated level and at group consolidated level (XBRL based).	Annually	31-12-2017	31-01-2018 (at the latest)	16-04-2018	The Liability Data Report is used for drawing up, maintaining and implementing resolution plans and for MREL purposes.	See BRRD and SRMR, articles 1 and 2. For information on SRB website: Click here
Remuneration Benchmarking	EBA	Selected SI banks	Data in addition to remuneration for high earners to be able to benchmark banks for similar functions and business areas. DLR will replace e-Line.	Annually	2017	30-03-2018	30-06-2018	Data collection aimed at gaining an understanding of the trends in remuneration practices.	See Article 75 of Directive 2013/36/EC (CRDIV). For extra information on the EBA website: Click here
Remuneration of high earners	EBA	All banks	Data on the number of natural persons earning EUR 1 million or more per financial year. DLR will replace e-Line.	Annually	2017	30-03-2018	30-06-2018	EBA yearly collects various remuneration data on high earners to gain insight into the number of high earners per European country and in remuneration levels across countries.	See Article 75 of Directive 2013/36/EC (CRDIV). For extra information on the EBA website: Click here
Resolution requests	SRB / EBA / DNB	All banks	Resolution data are collected to draft resolution plans. There are templates from SRB, EBA and DNB, requested at different times of the year.	Annually	Depends on the request.	Depends on the request.	Depends on the request.	SRB templates consists of a) an FMI report - which provides a reasonably detailed overview of banks' participation in FMIs b) a Critical Functions report - which aims at guiding institutions through the self-assessment for defining critical functions. EBA templates request the minimum information needed for resolution planning. DNB templates incorporate data to meet the objectives set out in the chapters of the manual in order to make a full BRRD compliant Resolution plan.	SRB templates can be found at https://srb.europa.eu/en/content/fmi-report EBA templates can be found at https://www.eba.europa.eu/-/eba-consults-on-procedures-forms-and-templates-for-resolution-planning DNB templates are sent via email.

Data submission request for SREP/RAS	ECB/ DNB	Already selected banks.	Additional data for SREP/RAS will be gathered as part of the STE data collection, the data must be submitted to the ECB at the same time as the quarterly ITS data submission. DNB will ask the banks to submit this data two weeks before the deadline as part of the ITS verification process.	Quarterly	31-12-2017	Four to five weeks before the deadline, tbc.	11-02-2018	The Short Term Exercise was initiated in order to complement ITS data needed for the SREP. Some components of the STE will become part of the regular ITS data request and will therefore no longer be requested in the context of the STE.	Mail send via Supervisory request mailbox.
Single Resolution Fund Report	SRB	All banks	Data about the risk profile of the institution is collected on individual level - in an excel template (XBRL based).	Annually	31-12-2016	15-12-2017	17-01-2018	Data used by SRB to calculate the contribution per institution to Single Resolution Fund. The Single Resolution Fund enables effective implementation of resolution instruments.	See the SRB-website for more information: https://srb.europa.eu/en/content/single-resolution-fund
Supervisory fees	ECB	All banks except non EEA branches	The supervisory fee is the amount each supervised bank pays annually to the ECB to finance the ECB's costs related to supervisory tasks and responsibilities. Less significant banks are directly supervised by their relevant national supervisor and therefore pay a lower supervisory fee to the ECB.	Annually	2017	May 2018 (To be confirmed)	Sept 2018 (To be confirmed)	The ECB is responsible for the effective and consistent functioning of the Single Supervisory Mechanism in its entirety, i.e. of the significant banks that it directly supervises and of the less significant banks that it indirectly supervises. All supervised banks are therefore obliged to pay.	See the ECB website: Click here
AD HOC REQUESTS									
BCS reporting (NEW)	DNB	Banks with licence for investment services	Excel template collecting data about the investments.	-	31-12-2017	Beginning of February	16-03-2017	Information is used to get a better insight into the amount covered by the BCS and for policy development.	Letter will be sent to relevant banks.
Data request for implementation of the WWFT (NEW)	DNB	Selected banks - tbd	The request will be related to data confirming that institutions comply with new requirements that have been implemented in the Dutch Anti-Money Laundering and Terrorist Financing Act.	-	30-09-2018	Beginning of Q3	To be confirmed	This request forms part of a thematic review into the extent to which financial institutions have prepared for upcoming legislation, i.e. the implementation of the 4th Anti-Money Laundering Directive in the Dutch Anti-Money Laundering and Terrorist Financing Act.	Further information to follow.
TRIM - Targeted Review of Internal Models	ECB/ DNB	Banks with internal models	Different data requests will follow from the to be performed model investigations Credit Risk, Market Risk and Counterpart Credit Risk.	-	Depends on the request.	Depends on the timelines of the TRIM investigation (x weeks in advance).	Depends on the timelines of the TRIM investigation.	This concerns the investigation of selected internal models (including data quality) as part of the TRIM project. The investigation of high default portfolio's is scheduled for 2017 and the investigation of low default portfolio's is scheduled for 2018.	For extra information on the ECB website: Click here
THEMATIC REVIEWS									
Outsourcing (SI's)	ECB	Selected banks	The stock-take will help in grasping, first-hand, the latest trends in banks' outsourcing activities and providing practical insights that may be used to further refine the SSM assessment approach for use in subsequent SREP cycles.	Unknown	Unknown	Q3 / Q4 2017	31-12-2018	The stock-take will be used to further refine the SSM assessment approach for use in subsequent SREP cycles.	Interviews in Q2/2017 Analysis of the results to SB in Q4 2017.
Disclaimer: This overview is based on available information as per 30 January 2018									