

De Nederlandsche Bank (DNB) will record the data supplied on the basis of this application form in a personal data processing system within the meaning of the Personal Data Protection Act (*Wet bescherming persoonsgegevens* / *Wbp*). The Dutch Data Protection Authority (*College Bescherming Persoonsgegevens*) has been notified of the processing of personal data. The notification form is available for inspection at DNB.

We always exercise due care in handling your data. We may exchange your data with third parties only if it is permitted by law; for example with supervisors and criminal authorities in the Netherlands or abroad.

You must of your own volition and without delay inform DNB of any change in circumstances that would cause you to have answered the questions below differently.

Please indicate on the form which documents you are adding to it by ticking the boxes against the relevant questions.

**NOTE:** If you also wish to apply for authorization to carry on business in classes other than 10A Motor Vehicle Liability, you must use the 'Application form for authorisation to carry on the business of a non-life insurer with registered office in the Netherlands'

**I Data as referred to in Section 12(I), under a, FSA Decree on Market Access of Financial Corporations**

Name of insurer

Address of insurer

Telephone number

A horizontal number line with 11 equally spaced tick marks. The tick marks are labeled with integers from 1 to 11, starting from the left and moving to the right.

Fax number

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2 Data as referred to in Section 12(I), under b, FSA Decree on Market Access of Financial Corporations

Legal form of insurer

3 Data as referred to in Section 12(I), under c, FSA Decree on Market Access of Financial Corporations

Name of insurer according to articles  
of association

Trading name(s) of insurer

Registered office of insurer

4 Data as referred to in Section 12(I), under d, FSA Decree on Market Access of Financial Corporations

Registration number in the Trade Register  
of the Chamber of Commerce

5 Data as referred to in Section 12(I), under f, FSA Decree on Market Access of Financial Corporations

A certified copy of the insurer's articles of association

*attached as appendix A*

6 Data as referred to in Section 12(I), under g, FSA Decree on Market Access of Financial Corporations

A programme of activities that the insurer intends to carry on in the Netherlands (for more details about the content of the programme of activities, see Section 13 FSA Decree on Market Access of Financial Corporations)

*attached as appendix B*



## 7 Data as referred to in Sections 12(1), under g and 12(1), under h, FSA Decree on Market Access of Financial Corporations

### Persons who have not yet been tested for integrity

- a Completed integrity test forms and curricula vitae of the persons who determine the insurer's day-to-day policy \*

Names of persons (last name, initial(s)):

.....  
.....  
.....  
.....

*attached as appendix C1*

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number of forms included:

.....

- b Completed integrity test forms for the persons who determine or co-determine the insurer's policy (insofar as these persons have not already provided data on the basis of section a)\*

Names of persons (last name, initial(s)):

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.....  
.....  
.....

*attached as appendix C2*

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number of forms included:

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### Persons who have already been tested for integrity

- c Persons who determine the insurer's day-to-day policy:

- a name, date of birth, place of birth, nationality, private address, telephone and fax number and position;
- b curriculum vitae;
- c list of relevant diplomas;
- d copy of valid proof of identity; and
- e list of referees.

Names of persons (last name, initial(s)):

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.....  
.....  
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*attached as appendix D*

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- d Persons other than under c:

Names of persons (last name, initial(s)):

.....  
.....  
.....  
.....

## 8 Data as referred to in Section 12(1), under i, FSA Decree on Market Access of Financial Corporations

A description of the proposed policies to secure sound operations, as referred to in Section 3:10(1), FSA

*attached as appendix E*

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## 9 Data as referred to in Section 12(1), under j, FSA Decree on Market Access of Financial Corporations

A description of the participation structure allowing assessment of compliance with Section 3:16, FSA

*attached as appendix F*

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\* You can download the integrity test form from our website ([www.dnb.nl](http://www.dnb.nl)).

**10 Data as referred to in Section 12(1), under k, FSA Decree on Market Access of Financial Corporations**

A description of the organisation of the operations to secure controlled and sound operations, as referred to in Section 3:17(1), FSA

*attached as appendix G*



**11 Data as referred to in Section 12(1), under l, FSA Decree on Market Access of Financial Corporations**

Documents from which own funds, as referred to in Section 3:53, FSA, and the expected solvency, as referred to in Section 3:57, FSA, are apparent

*attached as appendix H*



**12 Data as referred to in Section 15, under a, FSA Decree on Market Access of Financial Corporations**

- a Written proof confirming that the insurer is affiliated with the office as referred to in Section 2.6 of the Motor Vehicle Liability Insurance Act (*Wet aansprakelijkheidsverzekering Motorrijtuigen*).
- b Written proof confirming that the insurer has registered with the Dutch Motor Traffic Guarantee Fund (*Waarborgfonds Motorverkeer*) in order to comply with its obligations towards that fund by virtue of Sections 24(1) and 24a(1), Motor Vehicle Liability Insurance Act.
- c The names and addresses of the loss adjusters as referred to in Section 4:70(2) FSA.

*attached as appendix I*



If there is any qualifying holding also:

**13 Data as referred to in Section 12(1), under m, FSA Decree on Market Access of Financial Corporations**

- a A statement of the size of the qualifying holding.
- b Data allowing assessment of compliance with the provisions contained in Section 2:126, FSA, regarding the integrity of the applicant or the holder of a declaration of no-objection who might determine or co-determine the policies of the undertaking concerned based on the qualifying holding.
- c Documents demonstrating the financial position and the legal group structure of the applicant or the holder of a declaration of no-objection.

*attached as appendix J*



or

the 'Application form for a declaration of no-objection in respect of a qualifying holding in a bank, management company of an corporation for collective investment in transferable securities, collective investment scheme or insurer with its registered office in the Netherlands' is being sent separately



or

*not applicable*



**14 Signing by the authorised person(s)**

The undersigned declare(s) to have taken note of the aforementioned information about the processing of personal data in conformity with the provisions of the Wbp and the obligation to notify any changes in the answers to the questions asked.

The undersigned declare(s) to have filled in this questionnaire and the appendix(-ices) fully and truthfully.

Date 

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Place .....

Name and position .....

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Signature

.....

Date 

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Place .....

Name and position .....

.....

Signature

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Please send the completed and signed form, with any appendices, to:  
De Nederlandsche Bank, Expertisecentrum Markttoetreding, Postbus 98, 1000 AB Amsterdam.  
If you have any questions, please contact DNB's Information Desk on 0800 - 020 10 68  
or send an e-mail to [info@dnb.nl](mailto:info@dnb.nl).  
From outside the Netherlands please call +31 20 524 91 11.