Flow chart applicable reporting profile

- Do you have a license as fund manager or as investment firm?
  - License as fund manager (UCITS and / or AIFMD)
    - Are you a internal manager?
      - **Reporting profile: finrep_am_int**
    - Are you a external manager?
      - Are you allowed to perform investment services?
        - No?
          - **Reporting profile: finrep_am_ext**
        - Yes?
          - **Reporting profile: finrep_ifam_x6**
  - License as investment firm
    - Do you have an initial capital requirement of 730k and / or do you trade on own account?
      - Yes?
        - Do you comply with the CRR definition of "local firm"?
          - **Reporting profile: finrep_if_x3**
        - Do you fulfil the conditions of article 96 of the CRR?
          - **Reporting profile: finrep_if_x2 corep – all tables**
        - Are you an MTF or OTF, without the activity of 'trading on own account'?
          - **Reporting profile: finrep_if_x2 corep – C 00.01 up to and including C 05.02**
        - Do you perform other investment services besides "trading on own account" or do you perform investment service E?
          - **Reporting profile: finrep_if_x1 corep – all tables**
      - No?
        - Do you only perform the investment services A and or D and do you have and approved professional indemnity insurance?
          - **No reporting profile**
        - Do you only perform the investment services A and or D and have no approved professional indemnity insurance?
          - **Reporting profile: finrep_if_x3**
        - Other investment firms
          - Initial capital of 50k, but not authorized to perform investment service F
            - **Reporting profile: finrep_if_x2**
          - Initial capital of 125k or 50k, but authorization to perform investment service F
            - **Reporting profile: finrep_if_x2 corep – C 00.01 up to and including C 05.02**

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