Key function holder risk management self-assessment form

[name of candidate], [name of pension fund or premium pension institution (PPI)], [date]

Please use this self-assessment form to assess how the candidate scores on various subjects and areas on a scale of 1 to 5[[1]](#footnote-1). For each subject and area, provide notes listing relevant expertise, experience and competencies. It is important that you list several specific examples from the candidate's professional history, as well as education and training, to clarify your expertise and experience in the area of risk management. If you wish, you can use the information on our website (available in Dutch) on [suitability requirements for a key function holder](https://www.toezicht.dnb.nl/3/50-237402.jsp) risk management.

Our assessment process requires that the assessment file is sufficiently in-depth, specific and explicit, providing us with a solid foundation for our opinion on the candidate's suitability. This will prevent a situation in which we invite you to an assessment interview, while we would not have done so had the assessment file been of a sufficiently high quality.

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|  | **Subject/area** | **1** | **2** | **3** | **4** | **5** | ***Notes*** |
| 1. | The candidate understands the risk management cycle and can apply it in practice. |  |  |  |  |  |  |
| 2. | The candidate masters the methods and techniques to identify, measure, manage and mitigate risks. |  |  |  |  |  |  |
| 3. | The candidate is able to assess individual risks and their relationship to other risks in a comprehensive manner. |  |  |  |  |  |  |
| 4. | The candidate is able to assess the financial risks of asset categories, financial instruments (including derivatives) and outsourcing. |  |  |  |  |  |  |
| 5. | The candidate is able to identify and assess non-financial risks. |  |  |  |  |  |  |
| 6. | The candidate is able to promote the management of outsourced activities. |  |  |  |  |  |  |
| 7. | The candidate is familiar with various financial and non-financial risk reports and can interpret them. |  |  |  |  |  |  |
| 8. | Intellectually and professionally, the candidate operates at a university or higher professional education level and can translate new developments into risks and their management. |  |  |  |  |  |  |
| 9. | The candidate thinks and operates in an independent manner, has an excellently developed ability to make judgements and is persuasive. |  |  |  |  |  |  |
| 10. | The candidate is able to communicate outcomes and findings to the right persons and press for corresponding, effective action. |  |  |  |  |  |  |

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| Other relevant information: |
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| This self-assessment for was completed by: | [name], [position] |
| Did the candidate read this completed form? | [yes/no] |
| Were the scores discussed with the management board? | [yes/no] |

1. Please use the following scale: 1 does not apply to any significant extent, 2 applies to a small extent, 3 applies to a reasonable extent, 4 applies to a large extent, 5 applies to a very large extent [↑](#footnote-ref-1)