



Application form for authorisation

to open a branch of a funeral expenses and benefits in kind insurer with registered office outside the Netherlands

Sections 2:50 & 2:51, Financial Supervision Act (FSA) (Wet op het financieel toezicht / Wft)

De Nederlandsche Bank (DNB) will record the data supplied on the basis of this application form in a personal data processing system within the meaning of the Personal Data Protection Act (*Wet bescherming persoonsgegevens / Wbp*). The Dutch Data Protection Authority (*College Bescherming Persoonsgegevens*) has been notified of the processing of personal data. The notification form is available for inspection at DNB.

We always exercise due care in handling your data. We may exchange your data with third parties only if it is permitted by law; for example with supervisors and criminal authorities in the Netherlands or abroad.

You must of your own volition and without delay inform DNB of any change in circumstances that would cause you to have answered the questions below differently.

Please indicate on the form which documents you are adding to it by ticking the boxes against the relevant questions.

1	Data as referred to in Section 29(1), under a, FSA Decree on Market Access of Financial Corporations					
	Name of insurer					
	Address of insurer					
	Telephone number					
	Fax number					
2	Data as referred to in Section 29(1), under b, FSA Decree on Market Access of Financial Corporations Legal form of insurer					
3	Data as referred to in Section 29(1), under c, FSA Decree on Market Access of Financial Corporations Name of insurer according to articles of association					
	Trading name(s) of insurer					
	Registered office of insurer					
4	Data as referred to in Section 29(1), under d, FSA Decree on Market Access of Financial Corporations Registration number in the Trade Register of the Chamber of Commerce					
5	Data as referred to in Section 29(1), under e, FSA Decree on Market Access of Financial Corporations					
	A certified copy of the insurer's articles of association.*					
	attached as appendix A					
6	Data as referred to in Section 29(1), under f, FSA Decree on Market Access of Financial Corporations					
	A programme of activities that the insurer intends to carry on from the branch in the Netherlands (for more details about the content of the programme of activities, see Section 30, FSA Decree on Market Access of Financial Corporations)					
	attached as appendix B					

^{*} If the original documents were not drawn up in Dutch or English, please also submit a certified translation into one of these languages.

a					
	Completed and signed Prospective appointment notification forms and Integrity test forms each of the persons who determine or co-determine the policy of the insurer, (i.e. managing directors and other policy makers), to the extent applicable together with completed and signed Integrity test forms with the requested enclosures **				
	Names of persons (last name, initial(s)):				
	Number of Prospective appointment notification forms included:				
	Number of Integrity test forms included:				
	attached as appendix CI				
b	a body within the insurer that is entrusted	tment notification forms of each of the persons who form part of with the supervision of the policies and general course of events s), to the extent applicable together with completed and signed losures **			
	Names of persons (last name, initial(s)):				
	Number of Prospective appointment notification forms included:				
	notification forms included:	 O			
- T	notification forms included: Number of Integrity test forms included: attached as appendix C2				
A	notification forms included: Number of Integrity test forms included: attached as appendix C2 Data as referred to in Section 29(1), under 1,	FSA Decree on Market Access of Financial Corporations are sound operations of the branch, as referred to in			
S	notification forms included: Number of Integrity test forms included: attached as appendix C2 Data as referred to in Section 29(1), under 1, description of the proposed policies to section.	FSA Decree on Market Access of Financial Corporations			
S S A I	notification forms included: Number of Integrity test forms included: attached as appendix C2 Data as referred to in Section 29(1), under 1, description of the proposed policies to sectection 3:10.1, FSA attached as appendix D Data as referred to in Section 29(1), under j,	FSA Decree on Market Access of Financial Corporations			
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	notification forms included: Number of Integrity test forms included: attached as appendix C2 Data as referred to in Section 29(1), under 1, description of the proposed policies to sectection 3:10.1, FSA ttached as appendix D Data as referred to in Section 29(1), under j, description of the organisation of the operate ferred to in Section 3:17.1, FSA. ttached as appendix E Data as referred to in Section 29(1), under k,	FSA Decree on Market Access of Financial Corporations are sound operations of the branch, as referred to in FSA Decree on Market Access of Financial Corporations attions to secure controlled and sound operations of the branch, as FSA Decree on Market Access of Financial Corporations to carry on the business of insurer in the country where the			

7 Data as referred to in Sections 29(1), under g and 29(1), under h, FSA Decree on Market Access of Financial Corporations

^{**} The integrity test form is only applicable for persons who have not yet been tested for integrity

You can download the integrity test forms and the prospective appointment notification form from our website (www.dnb.nl)

п	Data as referred to in Section 29(1), under l, FSA Decree on	Market Access of Financial	Corporations				
	The insurer's representative as referred to in Section 3:47, FSA, and, if the representative is a legal person, (i) the articles of association of this legal person*, (ii) an extract of the legal person's entry in the Trade Register***; and (iii) proof of the appointment of the natural person as referred to in Section 3:47(5), FSA						
	attached as appendix G						
12	Data as referred to in Section 18(1), under n and 18(1), under o, FSA Decree on Market Access of Financial Corporations						
	a completed and signed Prospective appointment notification form of the representative of the insurer, together with the completed and signed Integrity test form with the requested enclosures **						
	attached as appendix H						
13	Data as referred to in Section 29(1), under m, FSA Decree on Market Access of Financial Corporations						
14	and on the basis of which DNB can assess whether the provisions laid down in that section are complied with, and from which the expected solvency of the insurer's branch, as referred to in Section 3:57(I), FSA, is apparent attached as appendix I Signature representative(s) The undersigned declare(s) to have taken note of the aforementioned information about the processing of personal data in conformity with the provisions of the Wbp and the obligation to notify any changes in the						
	answers to the questions asked. The undersigned declare(s) to have filled in this questionnaire and the appendix(-ices) fully and truthfully.						
	Date	Date					
	Place	Place					
	Name and position	Name and position	on				
	Signature	Signature					

Please send the completed and signed form, with any appendices, to:

De Nederlandsche Bank, Expertisecentrum Markttoetreding, Postbus 98, 1000 AB Amsterdam.

If you have any questions, please contact DNB's Information Desk on 0800 - 020 10 68 or send an e-mail to info@dnb.nl.

From outside the Netherlands please call +31 20 524 91 11.

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*** This extract must be of a recent date and reflect the current situation.