

De Nederlandsche Bank N.V.
Statistics
Other Financial Intermediaries
Statistics

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Trade Register 3300 3396
Date
28 September 2018

Re:

Publication of FINREP taxonomy amendments for investment firms and fund managers

Dear Members of the Board,

Recently some amendments were made to the FINREP taxonomy for investment firms and fund managers. We informed you of these amendments through our Alert of 13 September 2018. For your convenience, we have enclosed an English translation of the Alert as an annex to this letter.

The amendments will first apply to reports for the fourth quarter/second half of 2018 with reference date 31 December 2018 and reporting deadline 11 February 2019. Effectively this means you must use the new taxonomy and reporting templates from 1 January 2019 onwards.

You can find the new taxonomy on our [website](#) as "26-09-2018 DNB FBO 20180930 - Taxonomy Files" under "User documentation" and the reporting template in our Digital Reporting Portal (DLR). Please note that it is important that you use the most recent version of this template, since it differs from the previous version.

If you have any questions further to this letter, please contact the supervisory team of the Other Financial Intermediaries Statistics department by telephone at +31 20 524 3102 or by email at beleggingsonderneming.rap@dnb.nl or aifmd.rap@dnb.nl.

Yours sincerely,
De Nederlandsche Bank N.V.

Melle Bijlsma
Head of the Other Financial
Intermediaries Statistics Department

Hava Soganci-Celik
Report handler

Annex: Alert for investment firms and fund managers: amendments to FINREP effective from 31 December 2018

Date

28 September 2018

This Alert is to inform you of the amendments to the FINREP taxonomy that will first apply to reports for the fourth quarter/second half of 2018 with reference date 31 December 2018. The submission deadline for the FINREP report is 11 February 2019. The amendments are listed below.

Our reference

T050-1343562272-1140

1. Extension of the F 11.09 Managed Funds tab sheet: Breakdown by fund
2. Addition of new non-blocking validation rules (warnings)
3. Corrections to Dutch translations and description of validation rules

We will publish the full taxonomy on our [website](#) by the end of September 2018 under "Downloads".

1. Extension of the F 11.09 Managed Funds tab sheet: Breakdown by fund

A new column 120 has been added to the F 11.09 Managed Funds tab sheet: "Assets under management reinvested in other funds managed by this manager". Fund managers must enter the amount of managed assets reinvested in other funds managed by themselves in this column. This will prevent double counting in the calculation of total assets under management reported in tab sheet F 00.03.

2. Addition of new non-blocking validation rules (warnings)

Two non-blocking validation rules have been added to tab sheet C 01.00 "(CA 1) Capital adequacy - Own funds definition".

- TIER 2 CAPITAL should be less or equal to 1/3 of TIER 1 CAPITAL
- ADDITIONAL TIER 1 CAPITAL should be less or equal to 1/4 of TIER 1 CAPITAL

The validation rules will be included in the release documentation.

3. Corrections to Dutch translations and description of validation rules

Some corrections to Dutch translations and to the description of several validation rules have been made in FINREP. These corrections have no consequences for the taxonomy.

4. Contact

If you have any questions further to this Alert, please contact the supervisory team of the Other Financial Intermediaries Statistics department by email at beleggingsonderneming.rap@dnb.nl or aifmd.rap@dnb.nl.