

ECMS – training Module IV:

Domestic Credit Claims – U2A, registration and update

8 January 2024

DeNederlandscheBank

EUROSYSTEM

HAPPY NEW YEAR!

Agenda

- 1. Important prerequisite / Reference data**
 - **DNB setup**
 - **Counterparty setup**
- 2. U2A credit claim registration and update**
 - **Credit Claim Registration (CCR)**
 - **Credit Claim Update (CCU)**
 - **Credit Claim Outstanding Amount Update (CCOAU)**
- 3. Checks in the ECMS**
- 4. Live demo**

Important prerequisite / Reference data

Setup by DNB

- Create you as a creditor in ECMS and link you – as a counterparty - to this creditor.
- Add Eligibility type: Credit claim Domestic or CrossBorder.
- Credit Assessment Source preference (ICAS, ECAI, PSE).
- Create debtors and Guarantors in RIAD (Register of Institutions and Affiliates Database)

Setup by counterparty

Assign the necessary user roles in the ECMS:

- U2A: Role counterparty U2A credit claim management.
- A2A: Role counterparty A2A credit claims.

Important prerequisite / Reference data

CREDITOR

- The creditor of the credit claim does not always have to be the counterparty
- The code of the creditor should be indicated when the counterparty makes the registration

DEBTOR

- The debtor code and code type used (8-digit KvK-nummer).
- The debtor name

GUARANTOR

- The guarantor code and code type used (8-digit KvK-nummer).
- The guarantor name
- The guarantee's reference

Credit claim data

Counterparty's credit claim reference

Eurosystem's credit claim reference

Contract number as known by the debtor

Credit claim governing law

Loan type (ECMS code list)

Subordination indicator

Set-off risk indicator

Outstanding amount

Currency

Origination date

Maturity date

Interest rate type (fixed or variable)

Changing interest rate indicator

Resetting period < 1 year indicator

Changing interest rate date

Negative cashflow indicator

Cap indicator

Agent bank

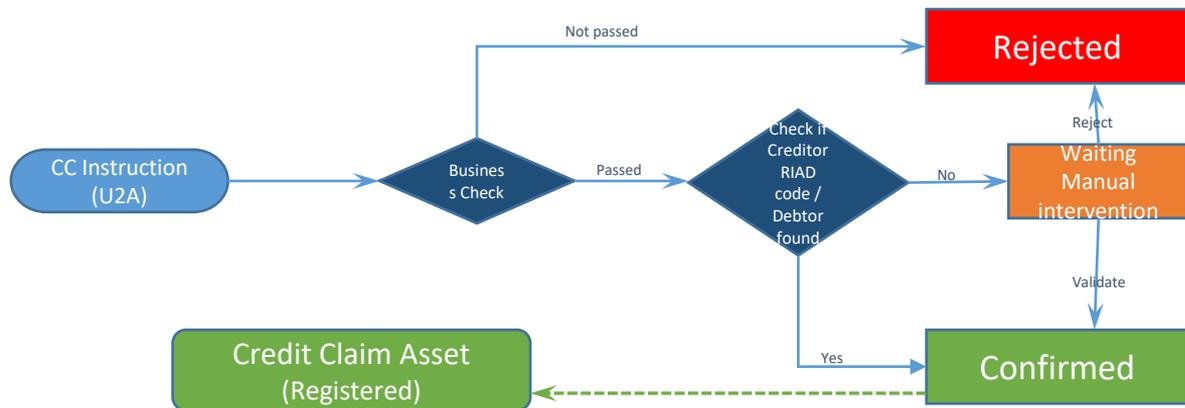
Referenced Eurosystem index (ECMS Code list)

Update date

U2A CC registration

Credit Claim Registration

- The counterparty has to register its credit claims via U2A mode.
- The credit claim registration instruction with operation type **CCR** can be saved in ECMS with status « Confirmed » when all the **business checks** are validated successfully.
- Once the credit claims instruction is created with status « Confirmed », the credit claim asset (Non-Marketable) is created with status « Registered » and with Eurosystem Credit Claim Id automatically filled.



Business validations for Credit Claim Registration

- **Instruction Reference:** Must be unique; not already used by the Counterparty.
- **Creditor Riad Code:** Creditor must be eligible for CC
- **Credit Claim Ref:** Must be unique; not already used by the Counterparty.
- **Outstanding Amount:** Must be equal or higher than EUR 200.000,-
- **Currency:** Must be an accepted value.
- **Origination Date:** Must be before or equal the current ECMS business date
- **Debtor Code Type:** KVK-nummer (code: NL_KVK_CD)
- **Guarantor Code Type:** KVK-nummer (code: NL_KVK_CD)
- **Credit Claim Governing Law:** must be from a Eurozone Country.
- **Loan Type*:** Must be an accepted value
- **Set Off Risk Indicator:** must be an accepted value (Y or N)
- **Subordination:** Must be "N" else rejected.

Business validations for Credit Claim Registration

- **Changing Interest Rate Indicator:** Must be an accepted value (Y or N)
- **Changing Interest Rate Indicator Date:** Must be after the intended settlement date and before the maturity date.
- **Interest Rate Type:** Must be an accepted value (FIX or VAR)
- **Reference Index*:** Mandatory if Interest RateType is VAR; must be an accepted value.
- **Cap Indicator:** Mandatory if Interest RateType is VAR; must be an accepted value
- **Resetting Period More Than One Year:** Must be filled in in case of a variable rate.

*[ECMS credit claim files - exchange information \(europa.eu\)](https://ecms.europa.eu)

U2A CC registration

Input ECMS

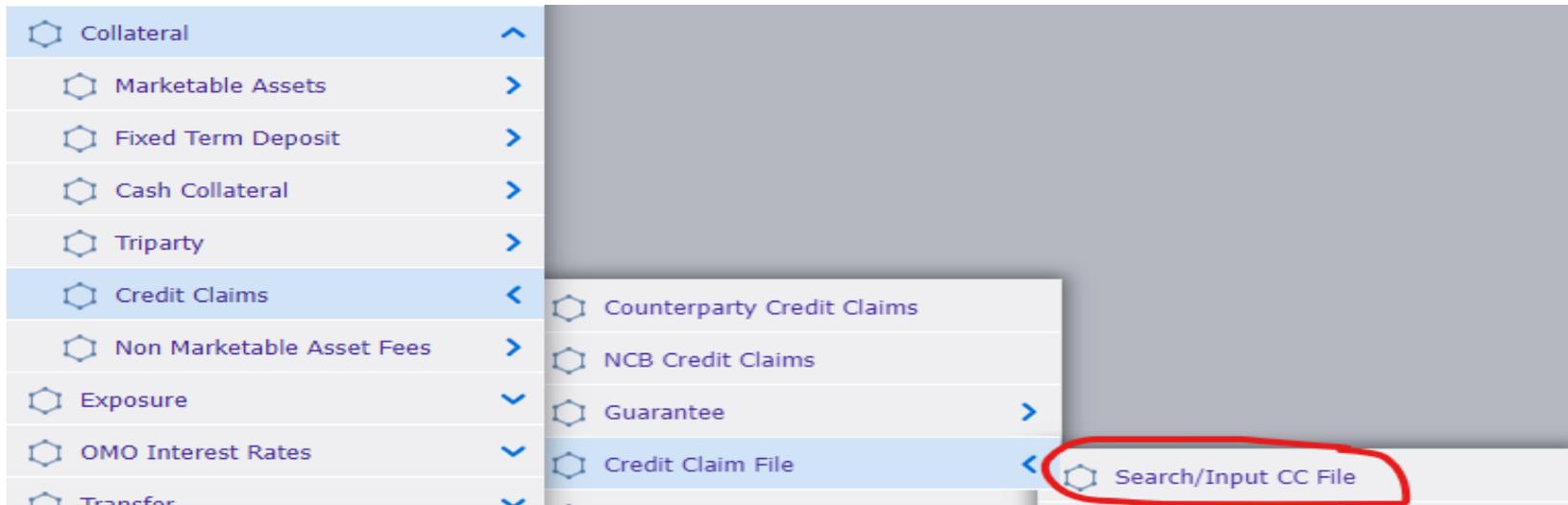
U2A CC registration steps

1. Create Credit Claim file
2. Choose CC instruction type(s)
3. Add the necessary information

U2A CC registration - Create CC-file

1. Create credit claim file

Main menu (MegaLend): collateral => Credit Claims => Credit Claim File => Search/Input CC file



U2A CC registration - Create CC-file

Search/Input CC File

Search Criteria : Credit Claim File

ECMS Internal Reference ==	File Reference ==	Counterparty ==
Intended Settlement Date ==	Preparation Date Time ==	File Status 0
U2A ==	Update Date ==	

[Reset](#) [Create](#) [Search](#)

Input Credit Claim File

ECMS Internal Reference NLCCF24010860001001	File Reference Your unique reference	Counterparty Your MFI code [NL195 - NL680 - NL89]
Counterparty RIAD Code	Counterparty Name	Intended Settlement Date 08/01/2024

[Credit Claim Registration / Update Instructions \[0\]](#) [Rating Registration / Update Instructions \[0\]](#)

[Mobilisation Instruction \[0\]](#) [Demobilisation Instruction \[0\]](#)

[Reset](#) [Save](#) [Cancel](#)

U2A CC registration – choose instruction type

Credit Claim Registration / Update Instructions [0]

Search Result : Credit Claim Registration / Update Instruction

10

0

ECMS Int... Instructio... Operation... Counterpa... Creditor... Credit Clai... Credit Clai... Debtor Re... Debtor Na... Debtor Co... Guarantor... Guarantor... Guarantor... Guarantee... Originatio... Maturity D... Outstandi... Currency... Governing... Instructio... Update Da... Update U...

No results were found for the search criteria specified

createInstruction

Edit : Comp CC Instruction Type

Select Instruction type to create :

CCR

CCU

CCOAU

Collateral and Credit

U2A CC registration – add instruction information

CcrEdit : Credit Claim Instruction



INSTRUCTION DETAILS



ECMS Internal Reference

NLCCI24010890002001

Instruction Reference

Your unique reference

Operation Type

CCR

Creditor

Your MFI code [NL195 - NL680 - NL895]



CREDIT CLAIM DETAILS



Credit Claim Ref

Unique reference of the credit claim

Credit Claim Contract Number

Contract Number

Outstanding Amount

Currency

EUR

Origination Date

Maturity Date



DEBTOR DETAILS



Debtor Ref

8 digit KvK-nummer

Debtor Name

Debtor Code Type

NL_KVK_CD

GUARANTOR DETAILS



Guarantor Ref

8 digit KvK-nummer

Guarantor Name

Guarantor Code Type

NL_KVK_CD

Guarantee Ref

bijv. wsw-nummer

U2A CC registration – add instruction information

OTHER DETAILS ⌵

Governing Law NL	Loan Type OREG	Set Off Risk Indicator Y
Subordination false	Negative Cashflow false	Agent Bank To be filled in in case of syndicated loan [Loan type: SSSYN or MSSYN]
Changing Interest Rate Indicator false	Changing Interest Rate Indicator Date [REDACTED]	Interest Rate Type FIX or VAR
Reference Index [REDACTED]	Reference Index Comment [REDACTED]	Cap Indicator [REDACTED]
Resetting Period More Than One Year [REDACTED]		

Anacredit Identifiers ⌵

Oa Id [REDACTED]	Contract Id [REDACTED]	Instrmnt Id [REDACTED]
---------------------	---------------------------	---------------------------

U2A CC registration – add instruction information

Search Result : Credit Claim Registration / Update Instruction

10 Q 0

ECMS Int... Instructio... Operation... Counterpa... Creditor... Credit Clai... Credit Clai... Debtor Re... Debtor Na... Debtor Co... Guarantor... Guarantor... Guarantor... Guarantee... Originatio... Maturity D... Outstand... Currency... Governing... Instructio... Update Da... Update US...

No results were found for the search criteria specified

createInstruction

CcrEdit : Credit Claim Instruction



INSTRUCTION DETAILS

Credit Claim Registration / Update Instructions [0]

Rating Registration / Update Instructions [0]

Mobilisation Instruction [0]

Demobilisation Instruction [0]

Reset

Save Cancel

Search Result : Credit Claim Registration / Update Instruction

10 Q 1

ECMS Int...	Instructio...	Operation...	Counterpa...	Creditor...	Credit Clai...	Credit Clai...	Debtor Re...	Debtor Na...	Debtor Co...	Guarantor...	Guarantor...	Guarantor...	Guarantee...	Originatio...	Maturity D...	Outstand...	Currency...	Governing...	Instructio...	Update Da...	Update US...
NLCCI2401...	LL2024010...	CCR	NL680	NL680	LL2024010...	Lesley	05040996	WONINGS...	NL_KVK_CD	17001910	Gemeente	NL_KVK_CD	LL-Guarant...	05/01/2024	01/03/2028	2,000,000	EUR	NL			

createInstruction

U2A CC registration – add instruction information

Confirm

Are you sure to save this data?

Yes

No

Success

The credit claim file with internal reference Id
NLCCF24010860001001 is saved successfully under status
WaitingCutOffTime.

OK

U2A CC registration – Check instruction

Credit Claims Instructions

Credit Claim Registration / Update Instructions [1]

Rating Registration / Update Instructions [0]

Mobilisation Instruction [0]

Demobilisation Instruction [0]

Search Result : Credit Claim Registration / Update Instruction

10

ECMS Int	Instruction	Operation	Counterp	Creditor	Credit Cl	Credit Cl	Debtor Re	Debtor Na	Debtor Co	Guarantor	Guarantor	Guarantor	Guarante	Originatio	Maturity	Outstandi	Currency	Governin	Instruction Status	Update D	Update U
NLCCI240...	LL202401...	CCR	NL680	NL680	LL202401...	Lesley	05040996	WONINGS...	NL_KVK_C...	17001910	Gemeente	NL_KVK_C...	LL-Guaran...	05/01/202...	01/03/202...	2,000,000	EUR	NL	Confirmed	08/01/202...	NLDNB-NB...

View : Credit Claim Registration Instruction

View

History

Instruction Details

ECMS Internal Reference	Operation Type	Counterparty
NLCCI2401080002001	CCR	NL680
Creditor	Credit Claim Ref	Credit Claim Id
NL680	LL20240108_946	
Credit Claim Contract Number	Instruction Reference	Instruction Status
Lesley	LL20240108_945	Confirmed

Business Validation Errors [0]

Instruction and Credit claim status

Status of an instruction

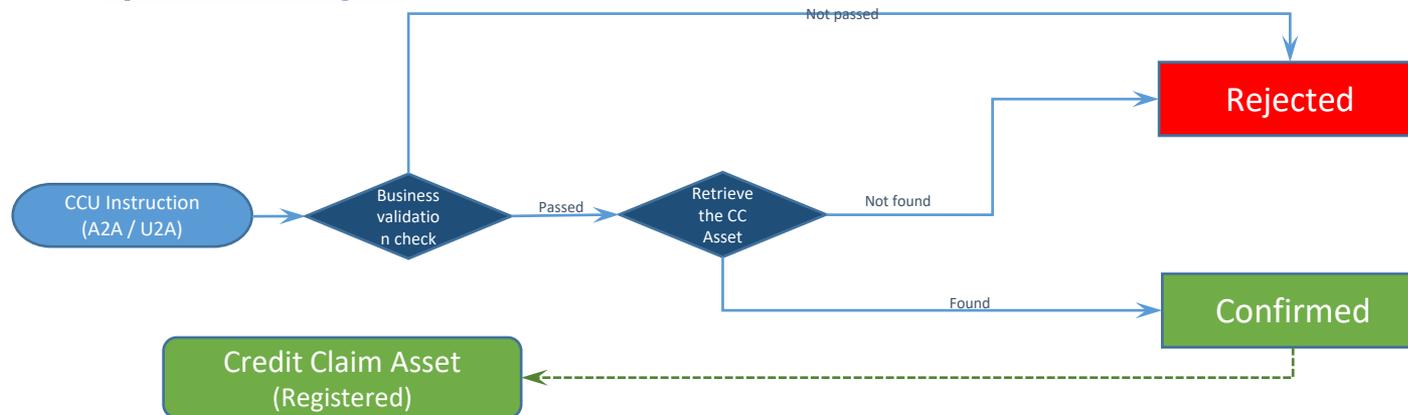


U2A CC Update

Credit Claim (Outstanding Amount) Update

Credit Claim Update

- The counterparty is able to update its credit claims by A2A or U2A mode.
- There are two kind of updates (Credit Claims update & Credit Claims outstanding amount update).
- When receiving an update instruction, ECMS tries to find the Credit Claim asset to be updated. Once found, the system proceeds to the update process depending on the credit claim status and the eligibility status.
- **Update of a Registered Credit Claim**



Business validations for Credit Claim Updates (CCU)

- **Instruction Reference:** Must be unique; not already used by the Counterparty
- **ECMSCCId:** If the identifier does not exist, the instruction is “Rejected”.
- **Update Date:** must be before or equal the current ECMS business date.

Business validations for Credit Claim Outstanding Amount Updates (CCOAU)

- **Instruction Reference:** Must be unique; not already used by the Counterparty
- **Eurosystem Credit Claim Id:** Must exist in the ECMS.
- **New Outstanding Amount:** Rejected if equal to the previous value.
- **UpdateDate:** must be before or equal the current ECMS business date.

U2A CC Update

Input ECMS: Credit Claim Update

Input ECMS: Credit Claim Update

1. Create Credit Claim file
2. Choose CC instruction type(s)
3. Add the necessary information

Input ECMS: Credit Claim Update – create file

Search/Input CC File

Search Criteria : Credit Claim File

ECMS Internal Reference ==	File Reference ==	Counterparty ==
Intended Settlement Date ==	Preparation Date Time ==	File Status 0
U2A ==	Update Date ==	

Reset Create Search

Input Credit Claim File

ECMS Internal Reference NLCCF24010860001001	File Reference Your unique reference	Counterparty Your MFI code [NL195 - NL680 - NL89]
Counterparty RIAD Code	Counterparty Name	Intended Settlement Date 08/01/2024

Credit Claim Registration / Update Instructions [0] Rating Registration / Update Instructions [0]

Mobilisation Instruction [0] Demobilisation Instruction [0]

Reset Save Cancel

Input ECMS: Credit Claim Update – choose Instr. type

Search Result : Credit Claim Registration / Update Instruction

10

ECMS Instr. | Instructio. | Operation. | Counterp. | Creditor | Credit Clai. | Credit Clai. | Debtor Re. | Debtor Na. | Debtor Co. | Guarantor. | Guarantor. | Guarantor. | Guarantee. | Originatio. | Maturity D. | Outstandi. | Currency | Governing. | Instructio. | Update Da. | Update Us.

No results were found for the search criteria specified

[+ createInstruction](#)

Edit : Comp CC Instruction Type

Select Instruction type to create :

- CCR
- CCU
- CCOAU

Collateral and Credit

Input ECMS: Credit Claim Update – add information

Input Credit Claim Update Instruction

CREDIT CLAIM DETAILS

Credit Claim

NLBNGH231364891

Credit Claim Ref

NL4020L01109371

Credit Claim Id

NLBNGH231364891

Credit Claim Contract Number

40.110937

selectResultSearchableText : Credit Claim

selectResultSearchableText : Credit Claim

10

9 [Icons: Star, Print, Download, Refresh, Back, Forward]

Eurosystem CreditCl...	Credit Claim Ref	Counterp...	Creditor	Guarante...	Credit Cl...	Eligibilit...	Outstand...	Currency	Originati...	End Date	Excluded...	Update D...	Update U...
NLBNGH231364891	NL4020L01109371	613	613		CC	Eligible	9,120,000	EUR	14/12/20...	14/12/20...	No	24/11/20...	ECMSOpe...

Input ECMS: Credit Claim Update – add information

INSTRUCTION DETAILS ⌵

ECMS Internal Reference NLCCI24010890003001	Instruction Reference Your unique ref2	Operation Type CCU
Update Date Instruction 08/01/2024 		
Debtor Ref 08214858	Debtor Name GEMEENTE ALMELO	Debtor Code Type NL_KVK_CD
Guarantor Ref	Guarantor Name	Guarantor Code Type
Guarantee Ref		

Input ECMS: Credit Claim Update – add information

DATA TO UPDATE

Governing Law NL	New Outstanding Amount 9,120,000	Currency EUR
Origination Date 14/12/2016	Maturity Date 14/12/2041	Loan Type OREG
Set Off Risk Indicator N	Negative Cashflow false	Subordination false
Agent Bank	Changing Interest Rate Indicator false	Changing Interest Rate Indicator Date
Interest Rate Type FIX	Cap Indicator	
Reference Index Comment	Resetting Period More Than One Year	
Oa Id	Contract Id	Instrmnt Id

ECMS Int... Instructio... Operatio... Counterpa... Creditor... Credit Clai... Credit Clai... Debtor Re... Debtor Na... Debtor Co... Guarantor... Guarantor... Guarantor... Guarante... Originatio... Maturity D... Outstandi... Currency... Governing... Instructio... Update Da... Update US...

No results were found for the search criteria specified

createInstruction

Input Credit Claim Update Instruction

CREDIT CLAIM DETAILS

DeNederlandscheBank
EUROSYSTEEM

Save

Input ECMS: Credit Claim Update - Check

Confirm

Are you sure to save this data?

Yes

No

Success

The credit claim file with internal reference Id
NLCCF24010860002001 is saved successfully under status
waitingPreviousCCFile.

OK

Input ECMS: Credit Claim Update - Check

Credit Claims Instructions

Credit Claim Registration / Update Instructions [1] Rating Registration / Update Instructions [0]

Mobilisation Instruction [0] Demobilisation Instruction [0]

Search Result : Credit Claim Registration / Update Instruction

10

ECMS Inte	Instructio	Operation	Counterpa	Creditor	Credit Clai	Credit Clai	Debtor Re	Debtor Na	Debtor Co	Guarantor	Guarantor	Guarantor	Guarantee	Originatio	Maturity D	Outstandi	Currency	Governing	Instructio	Update Da	Update Us
NLCCI2401...	Your uniqu...	CCU	NL680	NL4020L0...	40.110937	08214858	GEMEENT...	NL_KVK_CD					14/12/2016	10/12/2041	9,120,000	EUR	NL	Received	07/01/202...	NLDNB-NB

[View](#)

View : Credit Claim Registration Update Instruction

Instruction Details

ECMS Internal Reference NLCCI24010890003001	Operation Type CCU	Counterparty NL680
Creditor	Credit Claim Ref NL4020L01109371	Credit Claim Id NLBNGH231364891
Credit Claim Contract Number 40.110937	Instruction Reference Your unique ref2	Instruction Status Received

[Business Validation Errors \[0\]](#)

U2A CC Update

Input ECMS: Credit Claim Outstanding Amount Update

Input ECMS: Credit Claim Outstanding Amount Update

1. Create Credit Claim file
2. Choose CC instruction type(s)
3. Add the necessary information

Input ECMS: Credit Claim Outstanding Amount Update – create file

Search/Input CC File

Search Criteria : Credit Claim File

ECMS Internal Reference ==	File Reference ==	Counterparty ==
Intended Settlement Date ==	Preparation Date Time ==	File Status 0
U2A ==	Update Date ==	

Reset Create Search

Input Credit Claim File

ECMS Internal Reference NLCCF24010860001001	File Reference Your unique reference	Counterparty Your MFI code [NL195 - NL680 - NL89]
Counterparty RIAD Code	Counterparty Name	Intended Settlement Date 08/01/2024

Credit Claim Registration / Update Instructions [0] Rating Registration / Update Instructions [0]

Mobilisation Instruction [0] Demobilisation Instruction [0]

Reset Save Cancel

Input ECMS: Credit Claim Outstanding Amount Update

Search Result : Credit Claim Registration / Update Instruction

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ECMS Inté. Instructio. Operatio. Counterpa. Creditor. Credit Clai. Credit Clai. Debtor Re. Debtor Na. Debtor Co. Guarantor. Guarantor. Guarantor. Guarantee. Originatio. Maturity D. Outstandi. Currency. Governing. Instructio. Update Da. Update Us.

No results were found for the search criteria specified

createInstruction

Edit : Comp CC Instruction Type

Select Instruction type to create :

CCR

CCU

CCOAU

Input Credit Claim Outstanding Amount Update Instruction

INSTRUCTION DETAILS

Operation Type

CCOAU

ECMS Internal Reference

NLCCI24010890004001

Instruction Reference

Your unique ref3

Credit Claim

NLBNGH231778330

Current Outstanding Amount

15,000,000

Update Date Instruction

08/01/2024

New Outstanding Amount

10,000,000

Currency

EUR

Reset

Cancel

Save

Input ECMS: Credit Claim Outstanding Amount Update

Confirm

Are you sure to save this data?

Yes

No

Success

The credit claim file with internal reference Id
NLCCF24010860003001 is saved successfully under status
waitingPreviousCCFile.

OK

Input ECMS: Credit Claim Outstanding Amount Update

Credit Claims Instructions

Credit Claim Registration / Update Instructions [1]

Rating Registration / Update Instructions [0]

Mobilisation Instruction [0]

Demobilisation Instruction [0]

Search Result : Credit Claim Registration / Update Instruction

10

ECMS Inte...	Instructio...	Operation...	Counterpa...	Creditor	Credit Clai...	Credit Clai...	Debtor Re...	Debtor Na...	Debtor Co...	Guarantor...	Guarantor...	Guarantor...	Guarantee...	Originatio...	Maturity D...	Outstandi...	Currency	Governing...	Instructio...	Update Da...	Update Us...
NLCCI2401...	Your uniqu...	CCOAU	NL680	NL4020L0...	40.113932										10,000,000	EUR	Received	07/01/202...	NLDNB-NB...

View

View : Credit Claim Outstanding Amount Update Instruction

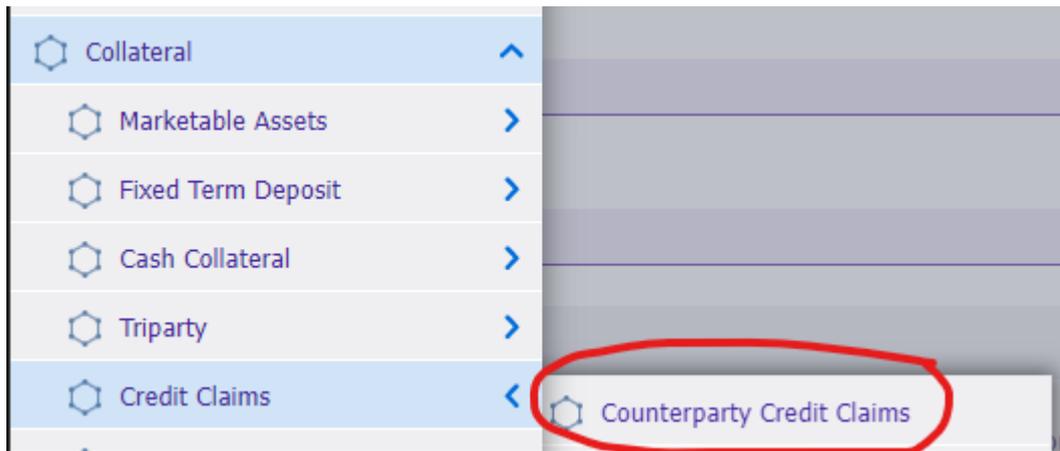
History

Instruction Details

ECMS Internal Reference NLCCI24010890004001	Operation Type CCOAU	Instruction Reference Your unique ref3
Credit Claim Ref NL4020L01139329	Credit Claim Id NLBNGH231778330	Credit Claim Contract Number 40.113932
New Outstanding Amount 10,000,000	Currency EUR	Update Date Instruction 08/01/2024
Instruction Status Received	Business Validation Errors [0]	

Where to find your CC

CC in the ECMS



CC in the ECMS

Counterparty Credit Claims Search/Input CC File Counterparty Credit Claims

Search Criteria : Credit Claim

Credit Claim Ref == ▾ Eurosystem CreditClaim ID == ▾ Contract Number == ▾

Counterparty == ▾ Creditor == ▾ Debtor == ▾

Guarantor == ▾ Guarantee Ref == ▾ Origination Date == ▾

End Date == ▾ Credit Claim Status == ▾ Eligibility Status == ▾

Excluded == ▾ Obligor Excluded == ▾ Is Cross Border == ▾

Update Date : from to

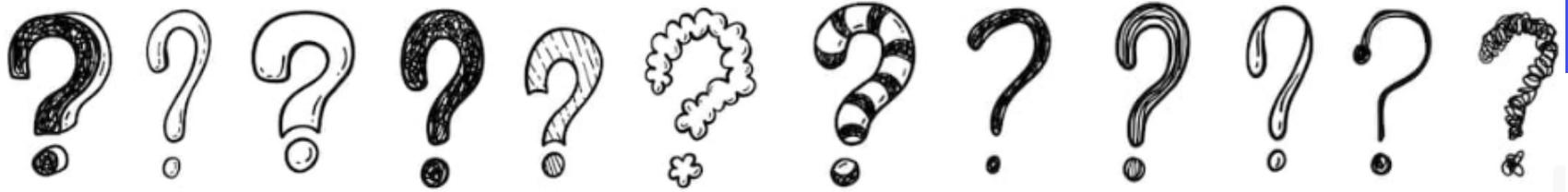
Search Result : Credit Claim

Eurosystem CreditClaim ID	Credit Claim Ref	Contract	Counterp	Creditor	Debtor	Guarant	Guarante	Originatio	End Date	Outstand	Currency	Governin	Credit Cla	Credit Cla	Eligibility	Is Cross	Excluded	Obligor E	Update D	Update U
NL.BNGH237850216	NL4030L01122334	01122334	NL680	NL680	NL002564...		01/11/20...	30/09/20...	400,000,0...	EUR	NL		Registered		No	No	No	28/12/20...	NLDNB-N...

Instruction and Credit claim status

Status of a credit claim





Questions?

All contact: ecms-testsupport@dnb.nl