

De Nederlandsche Bank N.V.  
Statistiek

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[www.dnb.nl/en/](http://www.dnb.nl/en/)

Trade Register 3300 3396

**Re:**

Designation as an MSR reporting institution

Dear Sir or Madam,

We hereby designate your organisation as a reporting institution for monthly securities reports, based on the data known to us.

**Summary:**

- **Your institution is designated as an MSR reporting institution.**
- **You will be assigned a reporting obligation (entry point) for the "MSR-SHSI: Securities holdings and securities issued" profile. Use your institution's Chamber of Commerce registration number as your organisation's identifier in your reports.**
- **From 15 January 2020 onwards, it will be possible to submit a test report.**
- **Your first reporting obligation relates to the July 2020 reporting month. The submission deadline for this first report is 21 August 2020.**

Purpose and legal framework

The purpose of the MSR is to gain a better insight into securities transactions in the Netherlands and between the Netherlands and other countries.

We use the data to compile various statistics, including the sector accounts, the balance of payments and the international investment position. For more information about the MSR and its purpose, please refer to the MSR Manual on the MSR page in the Digital Reporting Portal (Digitaal Loket Rapportages - DLR)<sup>1</sup>.

The statutory framework that forms the basis for the MSR reporting obligation comprises the Regulation concerning statistics on holdings of securities<sup>2</sup>, the External Financial Relations Act (*Wet financiële betrekkingen buitenland 1994 - Wfbb*) and the ensuing Balance of Payments Reporting Instructions 2003 (RI 2003). The Regulation amending the Balance of Payments Reporting Instructions 2003, published in the Government Gazette<sup>3</sup> on 27 November 2019, will amend the reporting instructions effective from 1 July 2020.

Should you fail to meet the reporting obligations, we are authorised under these regulations to impose an administrative fine, issue an order subject to penalty, or do both.

**Date**

9 January 2020

**Your reference**

**Our reference**

T050-1993887114-4342

**Handled by**

**Telephone number:**

**Email address:**

**Annexes**

<sup>1</sup> <https://www.dnb.nl/en/statistics/digital-reporting-portal/statistical-reporting/monthly-securities-report/index.jsp>

<sup>2</sup> Regulation (EU) No 1011/2012 of the ECB of 17 October 2012 concerning statistics on holdings of securities (ECB/2012/24), OJ L 305, 1.11.2012, p. 6.

<sup>3</sup> Government Gazette 2019, no. 64794.

#### Institutions for which you must submit reports

The annex to this letter lists the financial institutions for which you must submit reports and, where relevant, which institutions you must include in which groups. Separate reports must be submitted for each group.

The institutions and groups are the same as for your DRA reporting obligation with OFK profile. We have sent you a separate letter about that obligation.

If reporting in accordance with this group structure does not reflect the current situation or raises questions, e.g. because it differs considerably from your organisation's operating structure, please contact one of our account managers. Their contact details are given at the end of this letter.

#### Reporting profile, contents and format of the report

You will be reporting under the "MSR-SHSI: Securities holdings and securities issued" reporting profile, also referred to as your "entry point". Your reporting profile determines which reporting forms you must submit.

In the report, you must use your Chamber of Commerce registration number as your organisation's identifier, in combination with the scheme:

<http://www.dnb.nl/kvk>.

You can consult the MSR Manual on the MSR page for more information about the specific contents of the report.

You must submit the MSR report as an XBRL file. You can find the relevant specifications and taxonomy on the DLR MSR page on our website. An Excel submission facility is available for reporting institutions that are unable to submit the report in XBRL format. The submission facility generates an XBRL file based on the data you entered manually. You can read more about submitting the report in XBRL or using the submission facility in the general user manual on the DLR MSR page on our website.

#### Relation to the DRA report

The new securities report replaces the securities element of the DRA report with OFK reporting profile, for which your organisation also has a reporting obligation. The securities reporting forms in that DRA report will no longer apply to your organisation with effect from the report for the third quarter of 2020. Please note that you must still complete and submit the remaining forms in the DRA report.

#### Exemption from MER reporting obligation

Your institution (or group) is eligible for an exemption from the MER reporting obligation if the value of its securities held or issued is below EUR 5 million. Contact the Other Financial Institutions (OFI) reporting team at [ofi@dnb.nl](mailto:ofi@dnb.nl) to apply for exemption.

Should the value of your institution's securities held or issued exceed EUR 5 million at a later stage, you should notify us at the same email address so we can withdraw the exemption. Please note that we can withdraw the exemption with retroactive effect if necessary.

We reserve the right to adjust the EUR 5 million threshold should this be required to improve statistics quality.

#### How to submit a report

You can submit your MSR reports through the DLR. You need an eHerkenning login token to log into the DLR. You also need authorisation for the "DNB Reports" service as part of your access rights. You can authorise another institution to submit reports for your institution with an eHerkenning chain authorisation.

Please ensure to apply in time for eHerkenning access rights and the DNB Reports service authorisation for your staff.

For more information, see:

- DLR: <https://www.dnb.nl/en/statistics/digital-reporting-portal/index.jsp>
- eHerkenning: <https://www.eherkenning.nl/english>

#### **Date**

9 January 2020

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**Deadline**

The reporting deadline for the MSR report is 15 business days after the end of the relevant reporting month. Your reporting obligation for the July 2020 reporting period will be available in the DLR effective from 1 August 2020. The submission deadline for this report is 21 August 2020.

**Test report**

From 15 January 2020 a test reporting obligation for the MSR will be available in the DLR. It will be marked with the label "pilot". The entry point for the test report is: "TEST\_ MSR-SHSI: Securities holdings and securities issued". You can use this to find the report in the DLR. We recommend that you take this opportunity to test the system. It may help you to submit your first actual report in time. You can pass on any findings to your account manager. We will use the findings reported to us up to the end of March 2020 to make amendments to the reporting system. The test reporting obligation will be available until the end of June 2020.

**Support**

- If you have any questions about this letter, the substance of the reports, or about consolidation circles or enterprise structures that are relevant to reporting, please feel free to contact our account managers Maria Piszczek at +31 20 524 3206 or Paul Vleeshouwers at +31 20 524 3112, or send them an email at [ofi@dnb.nl](mailto:ofi@dnb.nl). You can also pass on any findings from your test report to them.
- You can contact our ICT Service Desk if you have questions about the DLR, by telephone at +31 20 524 6111, or by email at [ict-servicedesk@dnb.nl](mailto:ict-servicedesk@dnb.nl).
- Questions about the taxonomy may be submitted by email to [xbrl@dnb.nl](mailto:xbrl@dnb.nl).

Yours faithfully,  
De Nederlandsche Bank N.V.

Melle Bijlsma  
Head of the Financial Sector Accounts Department

**Date**

9 January 2020

**Our reference**

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