

ADDITIONAL DATA REQUESTS PER 21 JULY 2022										
Subject	Organi- sation	Target group	Scope of data to be submitted	Frequency	Reference date of data	Release date of request	Deadline banks	Explanation	Further information	
Semi recurring requests										
A1	Access to Financial Market Infrastructure Report (FMI Report)	SRB / DNB	Selected SI's and cross-border groups	The FMI report is meant to provide a detailed overview of banks’ participation in FMIs. XBRL report.	Annually	31-12-2021	December 2021	19-4-2022	In addition to the information requested under the Implementing Regulation, the SRB requests banks to report critical FMI's.	The template does not aim to provide an in-depth understanding of critical FMIs. Also, the template does not capture all the details that may be needed to maintain continued access to critical FMI service providers (necessary for critical functions or core business lines). For more information: Click here
A2	Additional reporting EEA branches	DNB	Non SI EEA Branches	Two extra tabs in FINREP: Retaildeposits and Assets under Management.	Annually	31-12-2021	April 2022	Beginning of May 2022	Information is used to determine the classification of the branch offices.	Relevant branches will be contacted by email.
A3	Article 23 LCR DR qualitative request	DNB	All LSIs who are not direct subsidiaries of SI's and that have reported LCR outflows under art 23	Requesting explanations for products and outflow percentages reported in Corep C 73.00 (on consolidated level).	Annually	July 2021 / June 2022 To be confirmed	September 2022 To be confirmed	October 2022 To be confirmed	Information is used to report to EBA - the outflow percentages that the banks have applied.	See Article 23(2) of the LCR delegated act. Click here The updated instructions and template were announced in August 2021, and are available via DLR
A4	Basel III Monitoring QIS (Quantitative Impact Study)	BCBS	Selected SI and LSI banks	Excel templates with data on the impact of the implementation of new BCBS policy frameworks. Two sets of modules: one stable set focusing on the medium/long-term policy phase-in, and one varying set of modules with the focus on more short-term policy impacts.	Semi-annually	30-6-2022	July 2022	23-9-2022	Worldwide Basel III impact and implementation tracking study on voluntary basis. Objective is to analyse the impact of Basel 3.5 on the European banking sector and economy	For extra information on the BIS website: Click here EBA changed the Basel III monitoring exercise from its current voluntary nature to a mandatory exercise from December 2021. For extra information on the EBA website: Click here
A5	BCS reporting	DNB	Investment firms, fund managers and banks with license for investment services.	Excel template collecting data about assets under management on behalf of non-professional investors	Annually	31-12-2021	December 2021	25-2-2022	Information is used to: 1) improve data quality of the reporting, 2) get a better insight into the amount covered by the BCS, and 3) for policy development.	For more information: Click here
A7	Commission Implementing Regulation Report (CIR Report)	EBA / DNB	Selected SI's and LSI's	XBRL report	Annually	31-12-2021	December 2021	19-4-2022	CIR report establish a minimum set of resolution reporting obligations for institutions	For more information: Click here
A9	Critical Functions Report (CFR)	SRB / DNB	Selecte SI's and cross-border groups and LSI's	The Critical Functions report aims at guiding institutions through this self- assessment, and at providing essential information for Internal Resolution Teams (IRTs) to perform the critical review. XBRL report.	Annually	31-12-2021	December 2021	19-4-2022	European Commission Delegated Regulation (EU) 2016/778 of 20 May 2016 (p. 41). Indicators for critical functions performed by banks.	For more information: Click here
A10	Data submission request for SREP/RAS STE	ECB / DNB	Already selected banks	Additional data for SREP/RAS will be gathered as part of the STE data collection, the data must be submitted to the ECB at the same time as the quarterly ITS data submission. DNB will ask the banks to submit this data two weeks before the deadline as part of the ITS verification process.	Quarterly	Q4 31-12-2021 Q1 31-3-2022 Q2 30-6-2022 Q3 30-9-2022	Four to five weeks before the deadline	Q4 11-2-2022 Q1 12-5-2022 Q2 11-8-2022 Q3 11-11-2022	The Short Term Exercise was initiated in order to complement ITS data needed for the SREP. Some components of the STE will become part of the regular ITS data request and will therefore no longer be requested in the context of the STE.	Data submission by DLR
A11	EBA Stress test	EBA	SI's	Banks have to calculate the impact of two scenarios on the capital position: a baseline scenario and an adverse scenario.	Biannually		Expected in 2023	Expected in 2023	The next EBA stress test is planned for 2023. Timelines will be communicated by the EBA at a later stage.	Fore more information on the EBA website: Click here

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	A12	EBA Transparency Exercise	EBA / ECB	SI's	The EU-wide transparency exercise provides detailed bank-by-bank data on capital positions, risk exposure amounts and asset quality.	Annually	Q3 2021 Q4 2021 Q1 2022 Q2 2022	September 2022	October 2022 (To be confirmed)	The EBA aims to promote a greater understanding of capital positions and exposures in the EU banking sector and foster market discipline across the Single Market. In view of the postponement of the EU wide stress test due to Covid-19, the EBA will carry out an additional EU-wide transparency exercise in order to provide updated information on banks' exposures and asset quality to market participants.	The Transparency Exercise is based on quarterly data instead of semi-annual data as before. For more information: Click here
	A13	G-SIB assessment exercise	BCBS/ EBA	All banks with a leverage ratio exposure measure exceeding 200 billion euros.	The end-2020 template can be found at the BIS website . The template covers variables used to calculate the GSIB score and auxiliary/memorandum items potentially used to assess and monitor the methodology and future changes thereof.	Annually	31-12-2021	1st round 24-01-2022	1st round 22-4-2022	The Basel Committee on Banking Supervision is conducting this data collection exercise as input into the methodology to assess the systemic importance of banks in a global context. The 12 indicators are aggregated and used to calculate the scores of banks in the sample. Banks above a cut-off score are identified as G-SIBs and are allocated to buckets that will be used to determine their higher loss absorbency requirement.	See Commission Delegated Regulation (EU) No 1222/2014, Article 5 and Article 131(12) of Directive 2013/36/EU. For extra information on the BIS website: Click here
	A14	Integrity Risk Questionnaire	DNB	License banks and branches SI's and LSI's	Questionnaire on objective data regarding international presence, client business and transactions in high risk countries.	Annually	31-12-2021	14-4-2022	9-6-2022	This questionnaire will help DNB to execute Anti Money Laundering and Counter Finance Terrorism supervision (AML/CFT).	See FATF Recommendations 2012.
	A17	Remuneration Benchmarking	EBA	Selected SI banks	Data in addition to remuneration for high earners to be able to benchmark banks for similar functions and business areas.	Annually	2021	Q1 2022	30-6-2022	Data collection aimed at gaining an understanding of the trends in remuneration practices.	See Article 75 of Directive 2013/36/EC (CRDIV). For extra information on the EBA website: Click here . This report will be requested via XBRL.
	A18	Remuneration of High Earners	EBA	All banks	Data on the number of natural persons earning EUR 1 million or more per financial year.	Annually	2021	Q1 2022	30-6-2022	EBA yearly collects various remuneration data on high earners to gain insight into the number of high earners per European country and in remuneration levels across countries.	See Article 75 of Directive 2013/36/EC (CRDIV). For extra information on the EBA website: Click here . This report will be requested via XBRL.
	A19	Information on Identified Staff	DNB	LSI banks	Data on the number of Identified Staff	Annually	2021	Q1 2022	30-6-2022	In addition to the annual EBA data requests, DNB collects data on the number of Identified Staff. With this annual exercise DNB wants to decrease ad hoc data requests on this matter.	This report will be requested via Excel separately. A different reporting obligation will be visible in DLR.
	A20	Risk Assessment Questionnaire	EBA	Selected SI's	Qualitative questionnaire on the bank's opinion regarding the main risks and vulnerabilities in the EU banking sector.	Semi-annually	Not specifically mentioned. Questionnaire mostly contains qualitative questions. In some cases a time horizon is mentioned (e.g. 6-12 months).	End of February 2022	1-4-2022	The EBA conducts semi-annual Risk Assessment Questionnaires (RAQs) among banks and market analysts. The RAQ results are published together with the EBA's quarterly Risk Dashboard and will be used for the yearly Risk Assessment Report (see https://www.eba.europa.eu/risk-analysis-and-data/risk-dashboard and https://www.eba.europa.eu/risk-analysis-and-data/risk-assessment-reports)	Banks in scope will be contacted directly by email by the EBA.
	A21	SREP Irrevocable payment commitments	ECB	SI's	Specific information on irrevocable payment commitments (IPC) with regard to deposit insurance schemes or resolution funds	Annually	To be decided	n/a	n/a	Supervisory measures resulting from the application of supervisory powers under Article 104(1)(d) of Directive 2013/36/EU. The survey will be used by JST's for this year SREP decision.	Banks in scope will be contacted by email.
A22	Single Resolution Fund Report	SRB	All banks	Data about institution's size and risk profile is collected on an individual level - by means of an excel template (XBRL based).	Annually	31-12-2020	October 2021	14-1-2022	The data is used by SRB to calculate the contribution per institution to the Single Resolution Fund. The Single Resolution Fund may be used to ensure the effective application of the resolution tools and powers.	See the SRB website: Click here	

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	A23	Supervisory Fees	ECB	All banks except non EEA branches	The supervisory fee is the amount each supervised bank pays annually to the ECB to finance the ECB's costs related to supervisory tasks and responsibilities. Less significant banks are directly supervised by their relevant national supervisor and therefore pay a lower supervisory fee to the ECB.	Annually	2021	n/a	11-11-2022	The ECB is responsible for the effective and consistent functioning of the Single Supervisory Mechanism in its entirety, i.e. of the significant banks that it directly supervises and of the less significant banks that it indirectly supervises. All supervised banks are therefore obliged to pay. The procedure has been changed in December 2019, please see the ECB pressrelease .	The ECB will reuse existing supervisory data to calculate fees, removing the need for a separate data collection process for most banks. Two categories of banks must still provide their fee factors via a separate collection process, for details see: ECB website . Fee debtors that intend to exclude assets and/or risk exposure amounts of subsidiaries established in non-participating Member States and third countries have to notify (online) the ECB of their decision at the latest by 30 September of the year concerned. See link above for more details, also for reporting instructions and templates.
	A24	Triennial Derivatives	BIS	Selected banks	Triennial Derivatives: turnover in foreign exchange spot markets, as well as foreign exchange and interest rate OTC derivatives markets.	Triennially	April 2022.	November 2021	15-6-2022	The 13th Triennial Central Bank Survey of foreign exchange and over-the-counter (OTC) derivatives markets will take place in 2022. It aims to obtain comprehensive and consistent information on the size and structure of global foreign exchange and OTC derivatives markets. The results are intended to increase the transparency of OTC markets and to help central banks, other authorities and market participants monitor developments in global financial markets. The reporting exercise will take place over April 2022	Banks in scope will be informed
	A29	Additional Liability Report	SRB / DNB	Selected SRB banks (SI's and cross-border institutions)	The report is requested from SRB and DNB in order to perform resolution activities. Excel format.	Annually	31-12-2021	December 2021	18-3-2022	The aim of the Additional Liability Report is to collect on a temporary basis the data points related to the stock of eligible TLAC and MREL (total amount and internal) necessary to set intermediate MREL targets in the 2020 resolution planning cycle.	For more information: Click here
	A31	Recovery Plans	DNB	LSI's	See explanation.	Annually / Biennially	To be decided	-	31-12-2021	In accordance with the Bank Recovery and Resolution Directive 2014/59/EU (BRRD) as transposed into Dutch law, LSIs are required to submit an updated recovery plan.	Banks will be contacted by e-mail. Banks will be informed seperately about their deadline for submission.
	A32	Additional template on Article 458 (2) macroprudential risk measure	DNB	Selected banks (IRB banks)	The template is requested to provide information on the impact of RWA based on LTV buckets	Semi-annually	30-6-2022 30-11-2022	1-1-2022	11-8-2022 11-2-2023	This report aims to provide DNB with information on the additional capital that IRB-banks hold for residential real estate mortgages as a result of the introduction of the minimum floor for risk weights as per 1 January 2022. The data allows DNB to make an assessment of the additional capital that banks hold and makes a better-informed evaluation possible of the appropriateness of the measure and the extent to which the measure addresses systemic risk. Only banks that have to apply the measure are requested to complete this ad-hoc data report (which are all banks using internal models to calculate their risk weighted assets and have their registered office in the Netherlands).	In case the measure gets extended after the current end date of 1 December 2022, the reference date for the second report will be on 31 December 2022 instead of 30 November 2022, and every other half year following as long as the measure remains active.
	A33	Corporate and FI loan level data	DNB	Selected LSI's	The data is requested in the form of a loan tape and should be compiled with loan level data on the corporate and FI portfolios	Monthly	Month-end data	6-4-2020	The 15th of each month	The goal of this exercise is to investigate the potential impact of Covid-19 in the corporate and financial institution (FI) portfolios.	Banks in scope are contacted by email
	A41	Recovery Plan Standardized Reporting Template	ECB	SI's	Excel template to report key data of the recovery plan in a clear and structured manner.	Annually	2020	June 2021	See 2020 feedback letter	SI's are required to draft and maintain recovery plans and to submit them annually for assessment. The Recovery Plan Standardized Reporting Template (SRT) is subject to periodic review.	Banks in scope are contacted by email
	A42	Bail-in datapoints	DNB	Selected SI's	Excel template to get additional information for bail-in (playbooks)	Biennially	31-12-2020	14-10-2021	28-2-2022	The template is based on and a combination of (i) SRB guidance to the 2021 LDR (LDR) , (ii) SRB guidance on its original bail-in data points list (SRBOR) , (iii) SRB guidance on the NL-specific bail-in data points circulated to Dutch banks in 2020 (SRBNL), (iv) other SRB and DNB input and (v) the EBA data dictionary (EBADD).	Banks in scope are contacted by email
A43	IPU reporting	EBA / DNB	Selected LSI's	Excel template	Quarterly	31-12-2021 31-3-2022 30-6-2022 30-9-2022	January 2022	25-02-2022 26-05-2022 25-08-2022 25-11-2022	Reporting on the amount of assets within the EU to comply with the EBA Guidelines on IPU monitoring.	Banks in scope are contacted by email.	

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	A44	Structural FX positions reporting	ECB / DNB	Selected SI's and LSI's	Excel template	Quarterly	30-6-2022 30-9-2022 31-12-2022	March 2022	11-08-2022 11-11-2022 11-02-2023	Based on the EBA Structural FX guidelines, entities with a "Structural FX-waiver" are allowed to exclude their Structural FX positions from their total FX position.	Banks in scope are contacted by email. For extra information: Click here.
	A45	Reporting requirements under deposit sanctions	EBA	Selected SI's and LSI's	Excel template	Annually	26-2-2022	May 2022	3-6-2022	Based on article 5g(1) point (a) of Russia Economic Sanction Regulation (RSR) banks are required to report the value of deposits which exceeds EUR100.000	For extra information: Click here.
	A46	Reporting requirements under deposit sanctions Belarus	EBA	Selected SI's and LSI's	Excel template	Annually	10-3-2022	May 2022	3-6-2022	Based on article 1z point (a) of Belarus Sanction Regulation (BSR) banks are required to report the value of deposits which exceeds EUR100.000	For extra information: Click here.
	A47	Historical credit risk data (NEW)	DNB	Selected SI's	Excel template to report historical credit risk data for loans to households and corporates	Biannually (in years preceding the EBA stress test)	Quarterly data with time series as long as available	June 2022	9-9-2022	The ECB is collecting data on historical credit risk data via the national competent authorities in preparation for the upcoming 2023 EBA Stress Test.	Banks have been contacted by e-mail for this voluntary data request with an explanatory note and template.

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One-off requests									
B40 Diversity benchmarking	EBA	Selected SI and LSI banks, investment firms	Excel template to collect data on diversity policies and practices	-	Unless noted otherwise, all data should be reported as of the reference date 31 December 2021, including in situations where the financial year differs from the calendar year. The gender pay gap should be calculated based on the total annual remuneration with regard to the financial year 2020 2021	April 2022	31-5-2022	The European Banking Authority is collecting data via the competent authorities on diversity practices, diversity policies and the gender pay gap at the level of the management body.	Banks in scope will be contacted by email. For extra information: Click here
B41 EBA IFRS 9 benchmarking exercise for High Default Portfolios (NEW)	EBA / ECB	Selected SI's	Excel templates: quantitative template for information on credit risk parameters, and qualitative template for information on IFRS 9 accounting policies and modelling approaches	-	31-12-2021	July 2022	30-9-2022	In line with its Roadmap for IFRS 9 deliverables (Phase 3), the EBA is launching its third iteration of the IFRS 9 benchmarking exercise. Phase 3 consists of extending the ITS on IFRS 9 benchmarking to High Default Portfolios (HDPs). To this extent, the EBA is launching a third ad-hoc data collection tailored to HDPs.	Banks in scope will be informed