

ADDITIONAL DATA REQUESTS PER 21 DECEMBER 2021										
Subject	Organi- sation	Target group	Scope of data to be submitted	Frequency	Reference date of data	Release date of request	Deadline banks	Explanation	Further information	
Semi recurring requests										
A1	Access to Financial Market Infrastructure Report (FMI Report) [UPDATE]	SRB / DNB	Selected SI's and cross-border groups	The FMI report is meant to provide a detailed overview of banks’ participation in FMIs. XBRL report.	Annually	31-12-2021	December 2021	19-4-2022	In addition to the information requested under the Implementing Regulation, the SRB requests banks to report critical FMI's.	The template does not aim to provide an in-depth understanding of critical FMIs. Also, the template does not capture all the details that may be needed to maintain continued access to critical FMI service providers (necessary for critical functions or core business lines). For more information: <a href="#">Click here</a>
A2	Additonal reporting EEA branches	DNB	Non SI EEA Branches	Two extra tabs in FINREP: Retaildeposits and Assets under Management.	Annually	31-12-2020	April 2021	Beginning of May 2021	Information is used to determine the classification of the branch offices.	Relevant branches will be contacted by email.
A3	Article 23 LCR DR qualitative request	DNB	All LSIs who are not direct subsidiaries of SI's and that have reported LCR outflows under art 23	Requesting explanations for products and outflow percentages reported in Corep C 73.00 (on consolidated level).	Annually	July 2020 / June 2021	15-9-2021	15-10-2021	Information is used to report to EBA - the outflow percentages that the banks have applied.	See Article 23(2) of the LCR delegated act. <a href="#">Click here</a> The updated instructions and template were announced in August 2021, and are available via DLR
A4	Basel III Monitoring QIS (Quantative Impact Study)	BCBS	Selected SI and LSI banks	Excel templates with data on the impact of the implementation of new BCBS policy frameworks. Two sets of modules: one stable set focusing on the medium/long-term policy phase-in, and one varying set of modules with the focus on more short-term policy impacts.	Semi-annually	31-12-2021	December 2021 / January 2022	1-4-2022	Worldwide Basel III impact and implementation tracking study on voluntary basis. Objective is to analyse the impact of Basel 3.5 on the European banking sector and economy	For extra information on the BIS website: <a href="#">Click here</a>  EBA changed the Basel III monitoring exercise from its current voluntary nature to a mandatory exercise from December 2021. For extra information on the EBA website: <a href="#">Click here</a>
A5	BCS reporting [UPDATE]	DNB	Investment firms, fund managers and banks with license for investment services.	Excel template collecting data about assets under management on behalf of non-professional investors	Annual	31-12-2021	December 2021	25-2-2022	Information is used to: 1) improve data quality of the reporting, 2) get a better insight into the amount covered by the BCS, and 3) for policy development.	For more information: <a href="#">Click here</a>
A7	Commission Implementing Regulation Report (CIR Report) [UPDATE]	EBA / DNB	Selected SI's and LSI's	XBRL report	Annually	31-12-2021	December 2021	19-4-2022	CIR report establish a minimum set of resolution reporting obligations for institutions	For more information: <a href="#">Click here</a>
A9	Critical Functions Report (CFR) [UPDATE]	SRB / DNB	Selecte SI's and cross-border groups and LSI's	The Critical Functions report aims at guiding institutions through this self- assessment, and at providing essential information for Internal Resolution Teams (IRTs) to perform the critical review. XBRL report.	Annually	31-12-2021	December 2021	19-4-2022	European Commission Delegated Regulation (EU) 2016/778 of 20 May 2016 (p. 41). Indicators for critical functions performed by banks.	For more information: <a href="#">Click here</a>
A10	Data submission request for SREP/RAS STE	ECB / DNB	Already selected banks	Additional data for SREP/RAS will be gathered as part of the STE data collection, the data must be submitted to the ECB at the same time as the quarterly ITS data submission. DNB will ask the banks to submit this data two weeks before the deadline as part of the ITS verification process.	Quarterly	Q4 31-12-2021  Q1 31-3-2022  Q2 30-6-2022  Q3 30-9-2022	Four to five weeks before the deadline	Q4 11-2-2022  Q1 12-5-2022  Q2 11-8-2022  Q3 11-11-2022	The Short Term Exercise was initiated in order to complement ITS data needed for the SREP. Some components of the STE will become part of the regular ITS data request and will therefore no longer be requested in the context of the STE.	Data submission by DLR
A11	EBA Stress test	EBA	SI's	Banks have to calculate the impact of two scenarios on the capital position: a baseline scenario and an adverse scenario.	Biannually		29-1-2021	1st round April 2021  2nd round May 2021  3rd round June 2021  Final round July 2021	The European Banking Authority has decided to postpone the EU- wide stress test to 2021 as a measure to alleviate the immediate operational burden for banks at this challenging juncture. The final timeline for the EU-wide stress test will be communicated in due course.	Fore more information on the EBA website: <a href="#">Click here</a>

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	A12	EBA Transparency Exercise	EBA / ECB	SI's	The EU-wide transparency exercise provides detailed bank-by-bank data on capital positions, risk exposure amounts and asset quality.	Annually	Q1 2021 Q2 2021	24-9-2021	10-10-2021	The EBA aims to promote a greater understanding of capital positions and exposures in the EU banking sector and foster market discipline across the Single Market. In view of the postponement of the EU wide stress test due to Covid-19, the EBA will carry out an additional EU-wide transparency exercise in order to provide updated information on banks’ exposures and asset quality to market participants.	The Transparency Exercise is based on quarterly data instead of semi-annual data as before. For more information: <a href="#">Click here</a>
	A13	G-SIB assessment exercise	BCBS/ EBA	All banks with a leverage ratio exposure measure exceeding 200 billion euros.	The end-2020 template can be found at the <a href="#">BIS website</a> .  The template covers variables used to calculate the GSIB score and auxiliary/memorandum items potentially used to assess and monitor the methodology and future changes thereof.	Annually	31-12-2020	1st round 25-01-2021  2nd round 04-05-2021  3rd round 08-06-2021  Final round 06-07-2021	1st round 23-4-2021  Publically disclose data before 30-04-2021  2nd round 12-05-2021  3rd round 16-06-2021  Final round 21-07-2021	The Basel Committee on Banking Supervision is conducting this data collection exercise as input into the methodology to assess the systemic importance of banks in a global context. The 12 indicators are aggregated and used to calculate the scores of banks in the sample. Banks above a cut-off score are identified as G-SIBs and are allocated to buckets that will be used to determine their higher loss absorbency requirement.	See Commission Delegated Regulation (EU) No 1222/2014, Article 5 and Article 131(12) of Directive 2013/36/EU.  For extra information on the BIS website: <a href="#">Click here</a>
	A14	Integrity Risk Questionnaire	DNB	License banks and branches SI's and LSI's	Questionnaire on objective data regarding international presence, client business and transactions in high risk countries.	Annually	31-12-2020	31-3-2021	27-5-2021	This questionnaire will help DNB to execute Anti Money Laundering and Counter Finance Terrorism supervision (AML/CFT).	See FATF Recommendations 2012.
	A17	Remuneration Benchmarking [UPDATE]	EBA	Selected SI banks	Data in addition to remuneration for high earners to be able to benchmark banks for similar functions and business areas.	Annually	2021	Q1 2022	30-6-2022	Data collection aimed at gaining an understanding of the trends in remuneration practices.	See Article 75 of Directive 2013/36/EC (CRDIV). For extra information on the EBA website: <a href="#">Click here</a> . This report will be requested via XBRL.
	A18	Remuneration of high earners [UPDATE]	EBA	All banks	Data on the number of natural persons earning EUR 1 million or more per financial year.	Annually	2021	Q1 2022	30-6-2022	EBA yearly collects various remuneration data on high earners to gain insight into the number of high earners per European country and in remuneration levels across countries.	See Article 75 of Directive 2013/36/EC (CRDIV). For extra information on the EBA website: <a href="#">Click here</a> . This report will be requested via XBRL.
	A19	Information on Identified Staff [UPDATE]	DNB	LSI banks	Data on the number of Identified Staff	Annually	2021	Q1 2022	30-6-2022	In addition to the annual EBA data requests, DNB collects data on the number of Identified Staff. With this annual exercise DNB wants to decrease ad hoc data requests on this matter.	This report will be requested via Excel separately. A different reporting obligation will be visible in DLR.
	A20	Risk Assessment Questionnaire	EBA	Selected SI's	Qualitative questionnaire on the bank’s opinion regarding the main risks and vulnerabilities in the EU banking sector.	Semi-annually	Not specifically mentioned. Questionnaire mostly contains qualitative questions. In some cases a time horizon is mentioned (e.g. 6-12 months).	July 2021	27-8-2021	The EBA conducts semi-annual Risk Assessment Questionnaires (RAQs) among banks and market analysts. The RAQ results are published together with the EBA's quarterly Risk Dashboard and will be used for the yearly Risk Assessment Report (see <a href="https://www.eba.europa.eu/risk-analysis-and-data/risk-dashboard">https://www.eba.europa.eu/risk-analysis- and-data/risk-dashboard</a> and <a href="https://www.eba.europa.eu/risk-&lt;br/&gt;analysis-and-data/risk-assessment-reports">https://www.eba.europa.eu/risk- analysis-and-data/risk-assessment-reports</a> )	Banks in scope will be contacted directly by email by the EBA.
	A21	SREP Irrevocable payment commitments	ECB	SI's	Specific information on irrevocable payment commitments (IPC) with regard to deposit insurance schemes or resolution funds	Annually	To be decided	n/a	n/a	Supervisory measures resulting from the application of supervisory powers under Article 104(1)(d) of Directive 2013/36/EU. The survey will be used by JST’s for this year SREP decision.	Banks in scope will be contacted by email.
A22	Single Resolution Fund Report	SRB	All banks	Data about institution's size and risk profile is collected on an individual level - by means of an excel template (XBRL based).	Annually	31-12-2020	October 2021	14-1-2022	The data is used by SRB to calculate the contribution per institution to the Single Resolution Fund. The Single Resolution Fund may be used to ensure the effective application of the resolution tools and powers.	See the SRB website: <a href="#">Click here</a>	

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	A23	Supervisory fees	ECB	All banks except non EEA branches	The supervisory fee is the amount each supervised bank pays annually to the ECB to finance the ECB's costs related to supervisory tasks and responsibilities. Less significant banks are directly supervised by their relevant national supervisor and therefore pay a lower supervisory fee to the ECB.	Annually	2021	n/a	11-11-2021	The ECB is responsible for the effective and consistent functioning of the Single Supervisory Mechanism in its entirety, i.e. of the significant banks that it directly supervises and of the less significant banks that it indirectly supervises. All supervised banks are therefore obliged to pay. The procedure has been changed in December 2019, please see the <a href="#">ECB pressrelease</a> .	The ECB will reuse existing supervisory data to calculate fees, removing the need for a separate data collection process for most banks. Two categories of banks must still provide their fee factors via a separate collection process, for details see: <a href="#">ECB website</a> .  Fee debtors that intend to exclude assets and/or risk exposure amounts of subsidiaries established in non-participating Member States and third countries have to notify (online) the ECB of their decision at the latest by 30 September of the year concerned. See link above for more details, also for reporting instructions and templates.
	A24	Triennial Derivatives	BIS	Selected banks	Triennial Derivatives: turnover in foreign exchange spot markets, as well as foreign exchange and interest rate OTC derivatives markets.	Triennial	April 2022	November 2021	15-6-2022	The 13th Triennial Central Bank Survey of foreign exchange and over-the-counter (OTC) derivatives markets will take place in 2022. It aims to obtain comprehensive and consistent information on the size and structure of global foreign exchange and OTC derivatives markets. The results are intended to increase the transparency of OTC markets and to help central banks, other authorities and market participants monitor developments in global financial markets. The reporting exercise will take place over April 2022	Banks in scope will be informed.
	A27	PSD2 Fraud Reporting	EBA	Selected banks	Payment service providers are asked to provide statistical data on fraud reporting to different means of payment, under art. 96(6) PSD2.	Semi-annually	31-12-2021	30-6-2021	31-3-2022	For more information see <a href="#">EBA website</a> .	Banks in scope are contacted by email. Must be reported via Excel with the adjusted template version 1.4
	A28	Monitoring Rentebenchmark-hervorming [UPDATE]	DNB / AFM	All LSI's subject to IRRBB reporting	Gross notional exposures and the number of contracts to Interest rate benchmarks (IBORs and RFRs) split accross product types and for different maturies	Semi-annually	31-12-2021	December 2021	23-2-2022	Worldwide benchmark reform requires institutions to switch from existing IBORs to alternative (near) risk free rates	
	A29	Additional Liability Report [UPDATE]	SRB / DNB	Selected SRB banks (SI's and cross-border institutions)	The report is requested from SRB and DNB in order to perform resolution activities. Excel format.	Annually	31-12-2021	December 2021	18-3-2022	The aim of the Additional Liability Report is to collect on a temporary basis the data points related to the stock of eligible TLAC and MREL (total amount and internal) necessary to set intermediate MREL targets in the 2020 resolution planning cycle.	For more information: <a href="#">Click here</a>
	A31	Recovery Plans	DNB	LSI's	See explanation.	Annually / Biennially	To be decided	-	31-12-2021	In accordance with the Bank Recovery and Resolution Directive 2014/59/EU (BRRD) as transposed into Dutch law, LSIs are required to submit an updated recovery plan.	Banks will be contacted by e-mail. Banks will be informed seperately about their deadline for submission.
	A32	Additional template on Article 458 (2) macroprudential risk measure	DNB	Selected banks (IRB banks)	The template is requested to provide information on the impact of RWA based on LTV buckets	Semi-annually	30-6-2022 30-11-2022	1-1-2022	11-8-2022 11-2-2023	This report aims to provide DNB with information on the additional capital that IRB-banks hold for residential real estate mortgages as a result of the introduction of the minimum floor for risk weights as per 1 January 2022. The data allows DNB to make an assessment of the additional capital that banks hold and makes a better-informed evaluation possible of the appropriateness of the measure and the extent to which the measure addresses systemic risk. Only banks that have to apply the measure are requested to complete this ad-hoc data report (which are all banks using internal models to calculate their risk weighted assets and have their registered office in the Netherlands).	In case the measure gets extended after the current end date of 1 December 2022, the reference date for the second report will be on 31 December 2022 instead of 30 November 2022, and every other half year following as long as the measure remains active.
	A33	Corporate en FI loan level data	DNB	Selected LSI's	The data is requested in the form of a loan tape and should be compiled with loan level data on the corporate and FI portfolios	Monthly	Month-end data	6-4-2020	The 15th of each month	The goal of this exercise is to investigate the potential impact of Covid-19 in the corporate and financial institution (FI) portfolios.	Banks in scope are contacted by email

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A38	ECB COVID-19 templates	ECB	SI's	Templates consisting of 3 parts: (A) Overview and Operational Continuity (B) Credit Risk (C) Market Risk	Monthly	31-12-2020 31-1-2021 28-2-2021 31-3-2021 30-4-2021 31-5-2021 30-6-2021 31-7-2021 31-8-2021 30-9-2021 31-10-2021 30-11-2021 31-12-2021	May 2020	29-1-2021 26-2-2021 29-3-2021 28-4-2021 28-5-2021 28-6-2021 28-7-2021 30-8-2021 28-9-2021 28-10-2021 29-11-2021 28-12-2021 28-1-2022	The information provided by subsidiaries shall be collected by the local NCA/NCB of the subsidiary. On 5 June there was a webinar for banks.	Banks in scope are contacted by email. The reporting period is extended with one year, untill December 2021.
A41	Recovery Plan Standardized Reporting Template	ECB	SI's	Excel template to report key data of the recovery plan in a clear and structured manner.	Annually	2020	June 2021	See 2020 feedback letter	SI's are required to draft and maintain recovery plans and to submit them annually for assessment. The Recovery Plan Standardized Reporting Template (SRT) is subject to periodic review.	Banks in scope are contacted by email
A42	Bail-in datapoints	DNB	Selected SI's	Excel template to get additional information for bail-in (playbooks)	Biennially	31-12-2020	14-10-2021	28-2-2022	The template is based on and a combination of (i) SRB guidance to the 2021 LDR (LDR) , (ii) SRB guidance on its original bail-in data points list (SRBOR) , (iii) SRB guidance on the NL-specific bail-in data points circulated to Dutch banks in 2020 (SRBNL), (iv) other SRB and DNB input and (v) the EBA data dictionary (EBADD).	Banks in scope are contacted by email
A43	IPU reporting [NEW]	DNB	Selected LSI's	Excel template	Quarterly	31-12-2021 31-3-2022 30-6-2022 30-9-2022	January 2022	25-02-2022 26-05-2022 25-08-2022 25-11-2022	Reporting on the amount of assets within the EU to comply with the EBA Guidelines on IPU monitoring.	Banks in scope will be contacted by email.
B37	EBA data collection regarding the use of Large Exposures exemptions	EBA	Selected SI's	A quantitative questionnaire in Excel format divided in 6 templates	-	30-6-2021	April 2021	30-9-2021	EBA has started a specific data collection regarding the use of some of the LE exemptions provided by Articles 390(6), 400(1)-(2) and 493(3) CRR. The questionnaire targets institutions that report the COREP Templates C26-C29 as of 30 June 2021. The questionnaire is to be filled on a consolidated[1] basis but some details are required at individual basis.	Banks in scope will be contacted by email
B39	IRRBB relaunch (Specific IRRBB sheets in Basel III Monitoring QIS)	EBA	Selected SI and LSI banks	EBA has announced to reopen the submissions for the Basel QIS Dec-20 reference date, for the IRRBB data ONLY, based on the same set of IRRBB templates. The reopening aims at obtaining more and higher quality data regarding the Dec-20 reference date. All banks are asked to review and improve or complement their existing submissions.	-	31-12-2021	November 2021	26-11-2021 13-12-2021	The information will be used for the finalization of the RTS on IRRBB. All participants are asked to review and improve or complement the existing submissions.	Banks in scope will be contacted by email.